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## Preparation and Characterisation of Cellulose Nanofibres Reinforced Polymer Composites

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### ABSTRACT

Optimised routes have been established to obtain high aspect ratio cellulose microfibrils derived from plant feedstocks, involving a combination of a chemical and mechanical treatment using a high pressure microfluidizer. By this means, nano-cellulose was produced from banana tree using following pretreatment protocol: (i) chemical modification, involving mercerization and acid hydrolysis; (ii) mechanical treatment, using a high speed (Turrax) mixer and high pressure microfluidisation and (iii) chemical and mechanical (chemo-mechanical) processes, by combining TEMPO-oxidation and high pressure microfluidisation. The nanofibres produced were characterised using microscopic procedures (scanning electron microscopy and transmission electron microscopy), particle size distribution measurement (static image analysis and laser diffraction), chemical analysis (zeta potential analysis, fourier transform infrared and x-ray diffraction), and thermal analysis (thermogravimetric analysis). Results obtained and subsequent trends observed in chemical, mechanical and chemo-mechanical treated nanofibres were compared and contrasted. The chemo-mechanical treatment (TEMPO-oxidation and high pressure microfluidisation) yielded higher aspect ratio nanofibrils than nanofibrils made by solely chemical or mechanical treatment. Cellulose nanofibrils made by chemo-mechanical treatment also gave higher yield and high degree of crystallinity. Cellulose nanofibres obtained were subsequently incorporated into polyvinyl alcohol (PVA) by a solution casting technique. Mechanical properties of these systems were determined from tensile tests and DMTA. Large increases in tensile modulus and strength were determined at fibre loadings up to 5wt%. However, mechanical properties of the composites were dependent on the applied preparation route. From this work, a direct comparison between the nano and micron-scale fibres can be observed in terms of their relative reinforcing efficiency. For instance, there was a 100% improvement in tensile modulus of conventional banana fibre-reinforced polymer composite by 30 wt% loading of micron-sized banana fibres. On the other hand, there was 300% improvement has been recorded in tensile modulus for cellulose nanofibre-reinforced polymer composite with only 5 wt% of cellulose nanofibres.

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## INTRODUCTION

Recently, discussions about the preservation of natural resources and recycling have led to interest in biomaterials, with the focus on renewable feedstocks. Since natural fibres are relatively cheap and biodegradable, the composites containing biofibres often in association with biodegradable polymers are considered to have an important role to play in addressing 21<sup>st</sup> century environmental concerns (Mohanty, A., M. Misra, and G. Hinrichsen, G., 2000). Accordingly, natural fibres have attracted the attention of scientists and technologists because of advantages that these fibres can provide over conventional reinforcements resulting in the development of a variety of natural fibre composites for industrial use in recent years (Schneider, J., Myers, G., Clemons, C., and English, B., 1995). These natural fibres have relatively low cost with low density giving high specific mechanical properties to the composite. There has been considerable interest in gaining increased performance relative to conventional composites by a new class of material termed nanocomposite. This type of material has attracted great interest from industry and academia due to the remarkable improvements in properties achievable at very low addition levels compared to virgin polymer or conventional micro- and macro-composites. Although the precise reasons for this improvement have been the subject of intense research, the smaller size of the reinforcing phase undoubtedly increases the probability of structural perfection with less

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surface defects thereby contributing to a more efficient reinforcement compared to micron-sized alternatives reinforcements (Lew, C., Murphy, W., and McNally, G., 2004). A major drawback of using natural fibres (macro-fibres) for composite applications; large variation in properties inherent to natural products. The properties could be impacted by climatic conditions, maturity, soil type and also disturbance during plant growth. Therefore, the idea of decreasing the size of the natural fibre particles from micro to nanoscale has been applied to overcome this problem. The major benefit of using cellulose nanofibres in reinforcing polymer matrices is its high surface area which leads to a very large matrix-filler interfacial area, changing the molecular mobility, relaxation behavior and ensuring thermal and mechanical properties.

Cellulose nanofibres has shown a great potential as a reinforcement agents in polymer nanocomposites because of the high aspect ratio and excellent mechanical properties (modulus of ca. 150 GPa and tensile strength of ca. 10 GPa) (Qua, E., *et al.*, 2011). The cellulose nanofibres used in this study were derived from banana fibres. For many years, the trunk of the banana tree has been considered as a waste-product and as a result, research was started to evaluate the potential of utilizing the trunk for industrial purposes, in particular after extraction of fibres from the banana trunk. Banana fibre is a ligno-cellulosic fibre, obtained from the pseudo-stem of banana plant (*Musa sapientum*), which is classed as a bast fibre with relatively good mechanical properties (Mukhopadhyay, S., R., *et al.*, 2008). The potential applications of cellulose nanofibres as a thickeners in food products, as barrier coatings, a strengthening agent for packaging materials, and in lightweight and strong polymer nanocomposites (Reitzer, R., 2007).

In this study, work is presented and focused on the optimisation of the processing and derivation techniques to achieve the optimum yield of stable nanofibre suspensions by applying various methodologies: chemical, mechanical and chemo-mechanical treatments. Particular emphasis is given to the structural characterisation of these fibres together with their thermal stability, in order to define their suitability as potential reinforcements for polymers. The cellulose nanofibres obtained were subsequently incorporated into polyvinyl alcohol (PVA) by a solution casting technique. The effect of different treated nanofibres on the mechanical, structural and thermal properties of these composites was determined.

### **Experimental:**

#### **Materials:**

Raw banana fibre obtained from the superposed layers of banana trees pseudostem were produced from COPLACA (Grupo Regional de Cooperativas Plataneros Del Archipiélago) and then were processed into a long fine fibres at University of Las Palmas, Canary Island. The long fibres then were cut into short fibres at Grupo Antolin (Grupo Antolin Ingeniería S. A.) and then dried under shade. Average fineness of banana fibre is 2386Nm (4.1 dtex). Polyvinyl alcohol (PVA 363138-1) chosen in this study was supplied from Aldrich Chemical Company, Inc. This was 98-99% hydrolyzed with an average molecular weight of 31 000 – 50 000 g/mol and a semi-crystalline polymer. PVA has a melting temperature of 200 °C and a relative density of 1269 kg/m<sup>3</sup> and is soluble in water.

#### **Preparation Of The Nanofibres:**

The preparation of the cellulose nanofibre suspensions were done using facilities and support from Agri Food and Bioscience Institute (AFBI), Belfast, Northern Ireland, UK. The pre-processed banana fibres were dry-milled and pre-treated with sodium hydroxide, NaOH (1 mol) for four hours at 80 °C. An important aim of this pre-treatment was to increase the surface area of the lignocellulosic material to make polysaccharides more susceptible to hydrolysis (Bhatnagar, A. and Sain, M., 2005). The fibres were then washed and freeze dried before up taken for further 3 different treatment methods.

#### **Acid Hydrolysis:**

Banana fibres were hydrolysed at different conditions. Pre-treated fibres were added to acid solution which contains 60 wt% of water, 10 wt% of concentrated hydrochloric acid (HCl) and 30 wt% of concentrated sulphuric acid (H<sub>2</sub>SO<sub>4</sub>). The mixture was heated to 80 °C for 16 hours with continuous stirring and then neutralized using NaOH. The mixture was dialysed against running water for two days.

#### **Mechanical Treatment:**

The cellulose nanofibres were prepared from banana fibres using a homogenisation process. The pre-treated fibres were first added to de-ionized water and turraxed for 20 minutes at 10 000 rpm. This procedure was carried out by T25 Digital ULTRA-TURRAX® in an ice bath in order to break up the particle size of banana fibres before going through in the microfluidizer. The microfluidizer M-110P was used to prepare cellulose nanofibres due to higher shearing forces compared to the conventional homogeniser. Microfluidiser M-110P is a laboratory unit machine that provides continuous high shear rate processing under high process pressure up to 2868 bar (30 000 psi), with a flow rate up to 120 ml/min and sample size of 50 ml.

**Chemo-Mechanical Treatment:**

Cellulose nanofibres were prepared using a combination of TEMPO oxidation and microfluidizer. The pre-treated fibres were added to an aqueous solution containing 0.000125 wt% of TEMPO (2, 2, 6, 6- Tetramethyl-1-piperidinyloxy, free radical) and 0.00125 wt% sodium bromide. The reaction was initiated by adding 0.01 wt% sodium hypochlorite. The reaction was maintained at pH 10.5 by using sodium hydroxide. The reaction was taken to have finished when no more sodium hydroxide was required in maintaining pH at 10.5. The mixture was adjusted to pH 7 by using hydrochloric acid. All the procedures were held at room temperature. The neutral mixture was then passed through into microfluidizer for 10 passes through 200 and 87  $\mu\text{m}$  chamber at 30 000 psi. The produced cellulose nanofibres then transferred into dialysis membrane tube having a molecular weight cut-off 8000 Daltons and dialysed against running water for two days in order to remove residual salts.

**Preparation of the PVA film containing nanocellulose:**

The composite films were prepared by using a solution casting technique. The cellulose suspensions used for this purpose were obtained from the mentioned treatments; chemical, mechanical and combination of both chemical and mechanical treatment. To obtain a nano-cellulose reinforced PVA film, a 10 wt% aqueous solution of PVA powder was first prepared and stirred at 90°C for 1 hour. The PVA solution then continuously stirred during cooling until its temperature reached room temperature. PVA solution was then mixed for 1 hour with the cellulose nanofibres suspension to yield 1, 3 and 5 wt% concentrations of fibres, and then item placed in an ultrasound bath for a further hour. The suspensions were then cast onto a Petri dish and dried at ambient temperature for 3 days. The composite films were removed from Petri dish and placed in a dessicator with saturated salt solution for 2 days before storage at 23°C and 50% relative humidity in humidity oven prior to characterization in order to prevent excess absorption of atmospheric moisture. All of these systems were compared with a control system containing only cast PVA.

**Electron Microscopy:**

The size distribution of cellulose nanofibres was studied with a Philips transmission electron microscope (Philips M100 TEM, Philips, Eindhoven, The Netherlands) at an acceleration voltage of 100 kV. Firstly, a droplet of acitracin (0.01%) was put on a Cu-grid coated with a thin carbon film, as a wetting agent, and dried under a study lamp for 5 seconds. After that, cellulose nanofibre suspension was put on the same Cu-grid, and dried again for 5 seconds. A 2 wt% of uranyl acetate was used as stain to improve the contrast of the cellulose nanofibres. The suspension was stained for 2 minutes and then was dipped in a droplet of distilled water for 2 seconds to remove the excess of uranyl acetate. It was then dried under a study lamp for 5 seconds. An estimation of the aspect ratio of the nanofibres was made by image analysis of Transmission electron microscopy (TEM) micrographs, using XT DOCU software. The number of particles measured was chosen from the particle size distribution observed and the specified confidence limits (ISO 13322-1:2004). Average of at least ten measurements for nanofibre lengths and diameters were taken.

The morphology of virgin fibres and fracture surface of the composites produced were examined using a JEOL JSM-6500 FEG scanning electron microscope (SEM). In order to avoid build up of electrostatic charge, the fractured and sectioned samples were sputter coated with gold (Qua, E., *et al.*, 2011).

**FTIR Analysis:**

FTIR spectroscopy is able to provide evidence of any new functional groups or chemical bonding of the PVA composites, also investigate the chemical structure changes when treatments (chemical and mechanical) are applied. FTIR analysis was performed onto raw materials and cellulose nanofibres in order to study their changes in chemical structure by using attenuated total reflection (ATR) analysis. The FTIR spectra of all specimens were performed using a Perkin Elmer spectrometer (Spectrum 1000) instrument with a universal ATR sampling accessory. 64 scans were collected between 650 and 4000  $\text{cm}^{-1}$  with 2  $\text{cm}^{-1}$  resolution.

**X-ray Analysis:**

All the x-ray diffraction studies were performed using x-ray diffractometer (X-pert Pro PANalytical) at 40 kV and 40 mA. All the samples were scanned using a Ni-filtered  $\text{CuK}\alpha$  beam (wavelength,  $\lambda = 1.5406 \text{ \AA}$ ) at a rate of 0.03 step/0.6 s. An antiscattering slit of 0.6 mm was positioned before the detector to reduce beam scattering. Again, the copper filter was positioned behind the scattering slit for the same reason (Qua, E. H., 2008). In this study, raw fibres and cellulose nano-fibres were scanned for XRD analysis.

**Thermal Analysis:****TGA:**

Thermal stability and identification of decomposition product of virgin banana fibre and cellulose nanofibres were determined by using a Mettler-Toledo TGA/SDTA 851 thermal analysis system. The samples

size was approximately 5-10 mg, heated in alumina crucibles (150  $\mu\text{m}$ ) over a temperature range from 25  $^{\circ}\text{C}$  to 600  $^{\circ}\text{C}$  at a heating rate of 10  $^{\circ}\text{C}/\text{min}$  and nitrogen purge gas flow of 50  $\text{cm}^3/\text{min}$ . Mettler-STAR software was used in recorded the weight loss and first derivative of weight loss.

#### **DMA:**

The PVA nano-composites were tested in the tensile mode using a Triton DMA 2000 (Friedberg, Germany). The measurements were carried out at constant frequency of 1 Hz with 1 N pre-load, strain amplitude of 0.1%, a heating rate of 5  $^{\circ}\text{C}/\text{min}$  and a gap distance of 5 mm. The samples were prepared by cutting strips from the films and composites with a width of 5 mm.

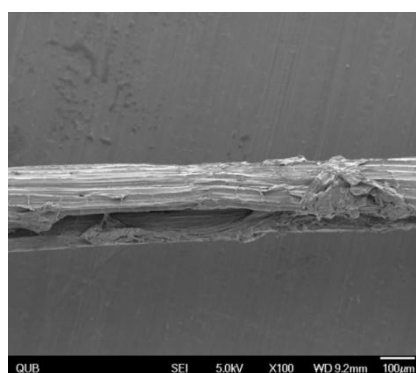
#### **Mechanical Properties:**

The modulus, yield and tensile strength of the nanocomposites were measured using INSTRON 5564 universal tensile testing machine with a 2kN load cell and a 50 mm/min crosshead speed according to ISO 527-2:1996 (ISO, E., 1996). Test specimens were prepared with the use of a punch die designed to cut a smooth and stress free test piece into an ASTM standard shape; gauge length is 50 mm and 5 mm width.

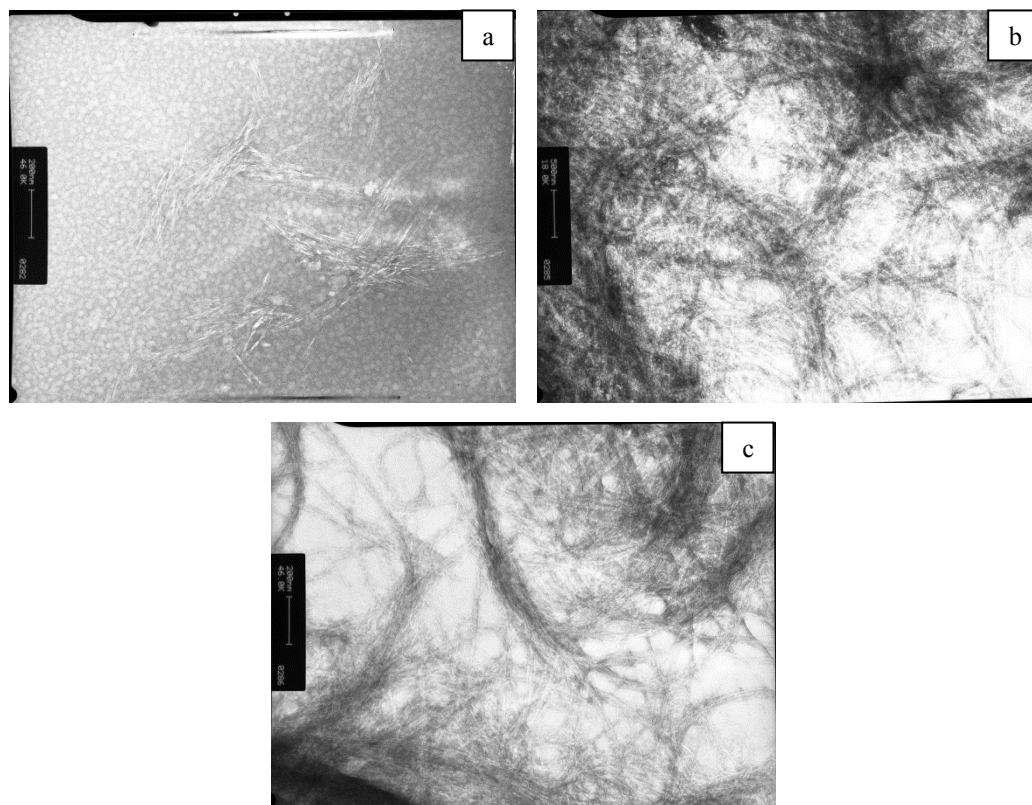
## **RESULTS AND DISCUSSION**

#### **Microscopy, Size Distribution And Appearance Of The Nanofibre Composites:**

The original structure of the material used in the investigation is shown in Figure 1, where it can be seen that the fibre dimensions are of the order of micrometers. As can be seen in Figure 2, the shape of the nanofibrils prepared in the present work was observed as a rod-like shape. Finer and shorter fibrils were expected in the cellulose nanofibres treated with acid hydrolysis, high pressure microfluidisation and combination of TEMPO-oxidation and microfluidisation. The mercerisation pre-treatment in this study helped in defibrillation of the microfibrils of the banana and ryegrass fibres. The comparison results of diameter size for cellulose nanofibres pre-treated and not pre-treated with mercerisation treatment cannot be presented as all the products in this study were mercerised. However, Bertoti *et al.* reported that the particle size distribution of mercerised cellulose fibrils derived from sugarcane fibres showed smaller width compared to untreated and acetylated fibres (Bertoti, A. R., Loporini, S., and M. C. A. Esperidião, M. C. A., 2009). Mercerisation pre-treatment has played an important role in reducing the fibril size by removing some other chemical constituents *i.e.*: pectin, lignin, hemicelluloses which are contained in a fibre bundle. Here the fibre cells overlap and are bonded together by pectin. Thus, alkali treatment could lead to fibre fibrillation *i.e.*, the breaking down of fibres into smaller thinner fibrils. An estimation of the aspect ratio of the nanofibres prepared by various treatments can be obtained from image analysis of TEM micrographs, using XT DOCU software (Table 1). However the accuracy of measurement is limited by the small fraction of particles analysed relative to the whole sample. Image analysis also is a number based technique and is therefore very sensitive to the presence of particle sizes which are present in high numbers. Hence, in this study the dynamic light scattering (DLS) method was applied to evaluate the particle size of the samples prepared by various treatments.



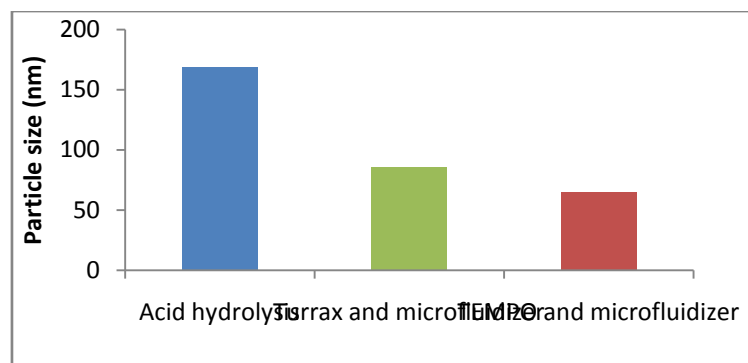
**Fig. 1:** Scanning electron micrograph of the source for cellulose nanofibre (banana fibre).



**Fig. 2:** Transmission electron micrographs of cellulose nanofibres derived from banana fibre: (a) acid hydrolysis, (b) Ultra-Turrax and microfluidizer and (c) TEMPO-oxidation and microfluidizer.

Results from DLS determinations are shown in Figure 3, in terms of Z-average diameter. The cellulose nanofibres treated with acid hydrolysis shows a larger particle size compared to the other products, by means that the nanofibres particles treated with acid hydrolysis aggregated in aqueous suspension rapidly compared to other samples. These observations can be explained by higher hydrophobic characteristics of the particles *i.e.* lower number of OH groups in these samples, leading to aggregation (Sharma, S., *et al.*, 2012). The average diameter size of cellulose nanofibres particles were 168.9 nm (acid hydrolysis), 85.4 nm (Ultra-Turrax and microfluidizer) and 64.6 nm (TEMPO-oxidation and microfluidizer). Since the particle size was determined from the Einstein-Stokes relation, thus the rod-like particles were treated as a spherical particle in the DLS analysis (Lee, J. Y., *et al.*, 2005). In the DLS study, it is evident that fibrils with diameters below 100 nm and high aspect ratio could be isolated from banana fibre by mechanical treatment. The diameter distribution obtained in this study is also in accordance with fibrils determined in other studies. Zimmerman reported that high aspect ratio fibrils with a diameter below 100 nm can be obtained by using a high dispersing tool (Ultra-Turrax) and microfluidizer (Zimmermann, T., Pöhler, E., and Geiger, T., 2004).

Banana nanofibres treated with a combination of chemical and mechanical treatment (treatment C) shows the lowest average particle size diameter: 64.6 nm. The fibrils obtained from the combination of TEMPO-oxidation and high pressure microfluidisation were much finer compared to the fibrils treated solely with chemical or mechanical treatments. TEMPO-oxidation helps in separating the packed fibrils facilitating subsequent breakdown due to the high shear experienced from microfluidisation. Furthermore, the fibres swollen due to mercerisation treatment should cause higher friction and shear forces in the capillary during microfluidisation, resulting in finer and shorter nanofibrils (Mohanty, A. K., Misra, M., and Drzal, L. T., 2005).



**Fig. 3:** The diameter size distribution of banana nanofibres particles treated with various treatments.

**Table 1:** Estimated diameters, lengths and the aspect ratios of the cellulose nanofibres from image analysis of the TEM micrographs.

Sample	Average diameter, nm	Average length, $\mu\text{m}$	Aspect ratio (length/diameter)
Banana cellulose nanofibre			
Acid hydrolysis	7.2 ( $\pm 0.9$ )	1.5 ( $\pm 1.4$ )	236
Turrax & microfluidizer	6.6 ( $\pm 1.3$ )	1.8 ( $\pm 1.7$ )	275
TEMPO-oxidation & microfluidizer	3.8 ( $\pm 1.2$ )	3.1 ( $\pm 2.3$ )	802

Through casting from an aqueous solution, the PVA control gave a clear transparent, flexible film. This film flexibility and transparency was maintained for the blends of PVA with banana nanofibres. With the addition of cellulose nanofibres prepared by acid hydrolysis, the colour of both PVA/banana nanofibre and PVA/ryegrass nanofibre films changed to dark brown from the initial dark brown suspensions of acid hydrolysis nanofibres. On the other hand, the nanocomposites with the mechanical and chemo-mechanical treated nanofibres exhibited a light brown colour, where the flexibility and the transparency nature seen in the control sample was also maintained. The transparent nature of these nanocomposites, shown in Figure 4, a result of the cellulose nanofibres being far shorter than the wavelength of visible light and their absence of agglomeration in the PVA (Qua, E. H., 2008).

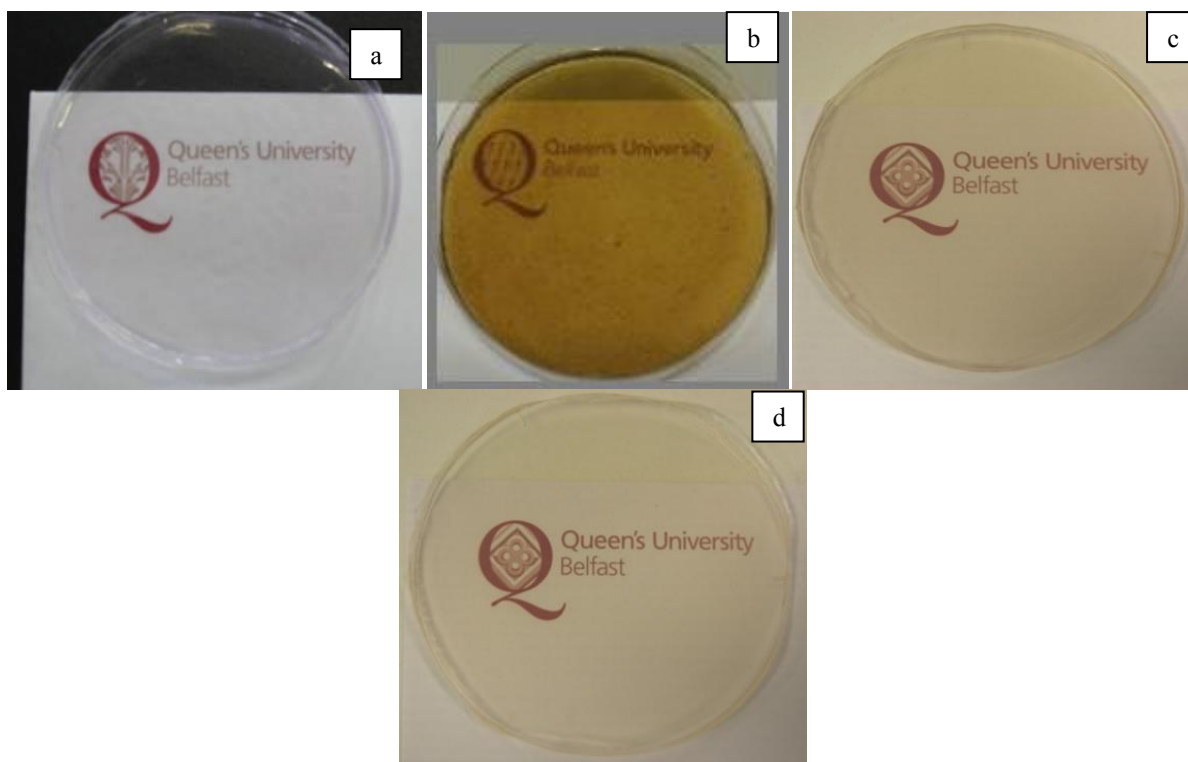
Figure 5 shows SEM micrographs of the fracture surfaces of the PVA nanocomposites. Cellulose nanofibres derived from banana and ryegrass fibres appear as white dots (indicated by arrows). The appearance of these cellulose nanofibres prepared in the SEM micrographs was similar to that observed in the previously reported studies (Azizi Samir, 2004; Qua, E., *et al.*, 2011). From these SEM micrographs, there is also no evidence of cellulose aggregation in the PVA, resulting from the high level compatibility and interaction between hydrophilic crystalline cellulose nanofibres and the PVA matrix (Qua, E. H., 2008).

#### Determination Of Zeta Potential:

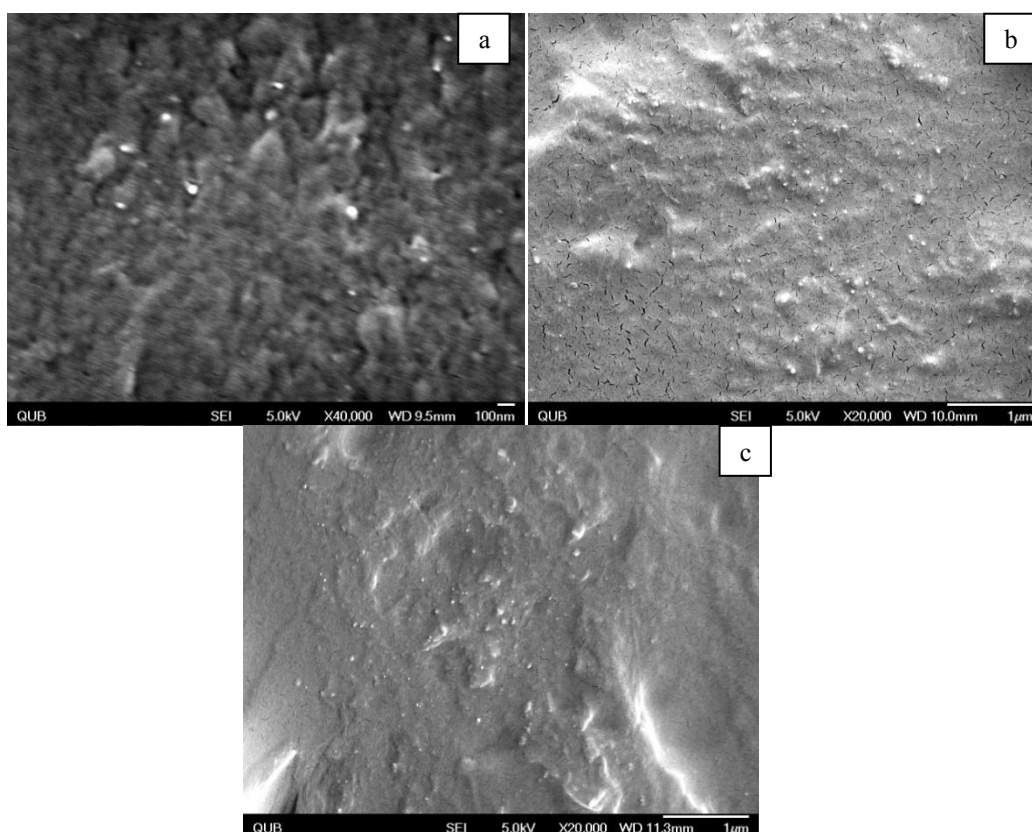
Figure 6 shows the negatively charged zeta potential of cellulose nanofibres derived from banana fibre. Cellulose nanofibres prepared with combination of TEMPO-oxidation and microfluidizer treatment gives the greatest negative zeta potential values: -27 mV showing that these nanofibres were in a more stable dispersed state compared to other samples. This is probably a consequence of the smaller average diameter size particles exhibited from this sample which results in a decrease in particle mass, leading to higher velocity movement and corresponding larger zeta potential.

A recent report by Satyamurthy *et al.* showed a high zeta potential of -69.7 mV on the surface of cellulose nanowhiskers prepared by sulphuric acid hydrolysis indicating the presence of sulphate groups in the crystallite (Satyamurthy, P. P., 2011). This results in stability of the colloidal suspension of cellulose (Bondeson, D., Mathew, A., and K. Oksman, K., 2006; Marchessault, Morehead, R. F. and Koch, M. J., 1961). However in the present investigation, the zeta-potential values exhibited from the sulphuric and hydrochloric acid hydrolysed samples were less negative compared to the previously mentioned study. This suggests a lower level of sulphate groups on cellulose nanofibres, a conclusion supported by lack of bound sulphate groups seen in the FTIR spectra at  $1210\text{ cm}^{-1}$  that will be presented later.

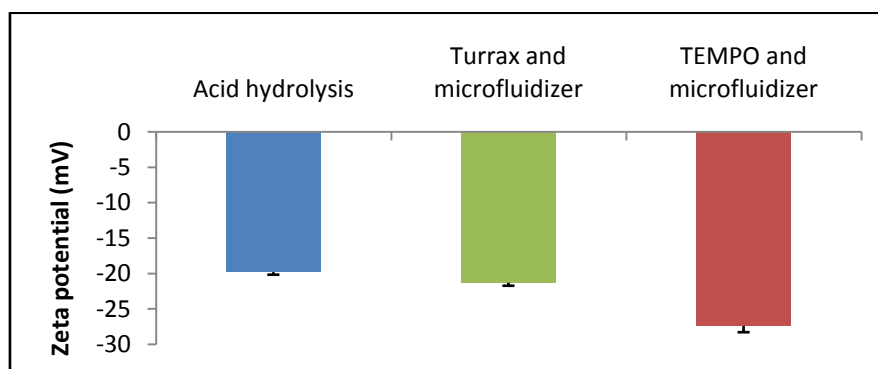




**Fig. 4:** Appearance of the produced films containing 5 wt% of cellulose nanofibres: (a) PVA, (b) PVA/nanofibre (acid hydrolysis), (c) PVA/nanofibre (Ultra-Turrax and microfluidizer), and (d) PVA/nanofibre (TEMPO and microfluidizer).



**Fig. 5:** Scanning electron micrographs of the prepared PVA films containing 5 wt% of cellulose nanofibres: (a) PVA/nanofibre (acid hydrolysis), (b) PVA/nanofibre (Ultra-Turrax and microfluidizer) and (c) PVA/nanofibre (TEMPO and microfluidizer)



**Fig. 6:** The zeta potential values of cellulose nanofibres derived from banana fibre treated with various treatments.

#### FTIR Analysis:

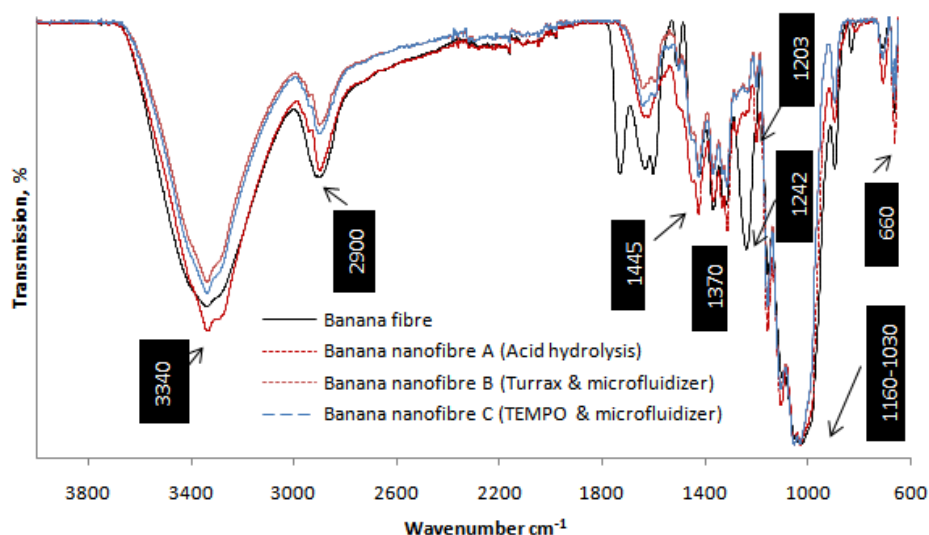
Figure 7 shows the diffuse reflection FTIR spectra and results for untreated banana fibre and banana nanofibres over 4000 to 650  $\text{cm}^{-1}$  wavenumbers. With the nanofibres, there is a significant decrease in peak intensity at 3340 and 2900  $\text{cm}^{-1}$ , corresponding to vibration of OH...O hydrogen bonding and antisymmetric and symmetric vibration of  $\text{CH}_2$  groups respectively (Alemdar, A. and Sain, M., 2008). The presence of peaks at 1445, 1370, 1160 to 1030 and 660  $\text{cm}^{-1}$  corresponds to the in-plane deformation of  $\text{CH}_2$ , in-plane deformation of OH, C-O stretching from ether groups, rocking vibration of  $\text{CH}_2$  and out of plane deformation of OH...O hydrogen bonding respectively (Qua, E., *et al.*, 2011; Sun, X., *et al.*, 2005). The presence of hemicellulose and lignin are also evident in the region of 1060 to 1732  $\text{cm}^{-1}$  respectively for banana fibre. The disappearance of the small sharp band at 1732  $\text{cm}^{-1}$  and general decrease in peak intensities in the region 1060 to 1733  $\text{cm}^{-1}$  was observed in banana nanofibres. This indicates that most of the hemicellulose was removed during the chemical and mechanical treatments.

The peaks at 1733 and 1242  $\text{cm}^{-1}$  in untreated fibre can be attributed to acetyl and uronic ester (C=O) groups from pectin, hemicelluloses along with the ester linkage of carboxylic group of ferulic and  $p$ -coumaric acids of lignin (Alemdar, A. and Sain, M., 2008; Sain, M. and Panthapulakkal, S., 2006; Sun, X., *et al.*, 2005). However the acid hydrolysis treated nanofibre showed no absorbance in this band and a general decrease in peak intensities in the region of 1600 to 1750  $\text{cm}^{-1}$ . This indicates that most of the hemicelluloses and lignin have been extracted during hydrolysis process (Sharma, S., *et al.*, 2012). The introduction of sulphate groups is presented at 1210  $\text{cm}^{-1}$ , however the acid hydrolysis treated nanofibres in this study did not show absorptions corresponding to sulphate groups at 1210  $\text{cm}^{-1}$  resulting from neutralisation by NaOH (Sharma, S., *et al.*, 2012).

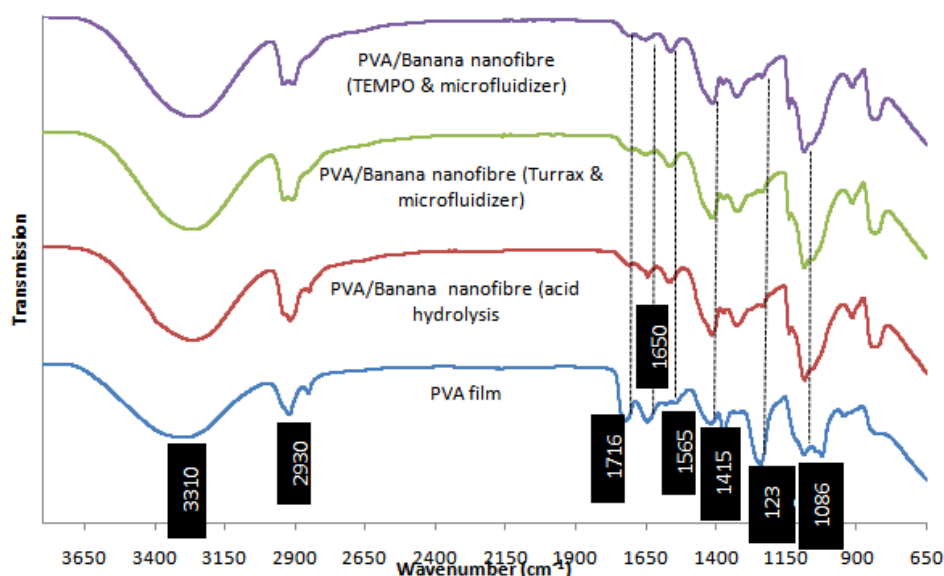
FTIR spectra of the neat PVA film, PVA/banana nanofibre (acid hydrolysis), PVA/banana nanofibre (Turrax and microfluidizer) and PVA/banana nanofibre (TEMPO and microfluidizer) nanocomposites films are compared in Figure 8. Neat PVA film shows peaks at 3310  $\text{cm}^{-1}$  (-OH stretching), 2930  $\text{cm}^{-1}$  (alkyl CH stretching), 1716  $\text{cm}^{-1}$  (acetate C=O stretching), 1650  $\text{cm}^{-1}$  (H - O - H deformation), 1565  $\text{cm}^{-1}$  (CH bending), 1415  $\text{cm}^{-1}$  (CH bending), 1238  $\text{cm}^{-1}$  (acetate C - O bending), and 1086  $\text{cm}^{-1}$  (C - O stretching). The presence of peaks at 1716  $\text{cm}^{-1}$ , 1375  $\text{cm}^{-1}$  and 1238  $\text{cm}^{-1}$  are attributed to the residual acetate groups in the PVA polymer (Thomas, P., 2001). An absorption peak was verified at a frequency of 1142  $\text{cm}^{-1}$  (C - O, wavenumbers of 1090 - 1150  $\text{cm}^{-1}$ ) (Mansur, H. S., Oréface, and Mansur, 2004). This bond vibration is mostly attributed to the crystallinity of the PVA, related to the carboxyl stretching band (C - O) (Peppas, N. A. and S. L. Wright, S. L., 1996; Peppas, N. A., 1977).

The intensity of the broad band peaks in the region of 1086, 1238, and 1376  $\text{cm}^{-1}$  increased with the presence of cellulose nanofibres in the PVA matrix, as a result of the contribution of C - O and C - H stretching from the cellulosic component. Addition of the cellulose nanofibres to the PVA matrix produced only a slight effect on the intensity of OH stretching. This may due to the -OH groups on the surface of cellulose nanofibres interacting with adjacent -OH groups in the PVA without affecting the bonding between OH groups in the PVA matrix itself (Qua, E. H., 2008).





**Fig. 7:** IR spectra of banana fibre and banana nanofibre made by using acid hydrolysis, Turrax and microfluidizer and TEMPO and microfluidizer.



**Fig. 8:** IR spectra of the PVA and PVA nanocomposite films containing 5 wt% of cellulose nanofibres.

#### **XRD Analysis:**

XRD diffractograms for the films produced, cellulose nanofibres and untreated fibres are shown in Figure 9 and 10. Three main reflections at  $2\theta = 11.7^\circ$ ,  $16.4^\circ$  and  $22.6^\circ$  were observed for the banana fibre and nanofibres, corresponding to a cellulose I structure (Mathew, A.P., Oksman and Sain, 2005; Sharma, S., *et al.*, 2012). There was an increase in the intensity seen with banana nanofibres compared to its precursor. This suggests that the resulting nanofibres are more crystalline than the untreated banana fibre. The intensity literally indicates the degree of crystallinity of the samples. In this study, the degree of crystallinity also has been measured by an empirical method developed by Segal and co-workers (1959). This method has been widely used for the study of crystallinity of native cellulose where apparent crystallinity (%) of cellulose determined by XRD can be calculated from the following equation:

$$\frac{C = I_{200} - I_{non-cry}}{I_{200}} \quad 100\%$$

Where;

C = crystallinity

$I_{200}$  = maximum intensity of the peak corresponding to the plane in the sample with the Miller indices 200 at  $2\theta$  angle between  $22-24^\circ$ .

$I_{non-cry}$  = intensity of diffraction of the non-crystalline material which is taken at an angle of about  $18^\circ$   $2\theta$  in the valley between peaks.

As can be seen in Figure 9, the nanofibrils derived from the microfluidizer were much more crystalline than the virgin banana fibres. Furthermore, the crystallinity of these microfibrillated banana fibres increased with the added TEMPO-oxidation treatment before passing through the microfluidizer. The banana nanofibres treated with combination of chemical and mechanical treatments showed highest intensity and degree of crystallinity (Table 2) compared to other nanofibres treated with solely by chemical or mechanical treatment. This resulted from the disintegration process by loosening the adhesion between microfibrils by TEMPO-mediated oxidation. Thus TEMPO-oxidation has eased the removal process of amorphous regions where the lignin and polyose layer such hemicellulose surround the banana fibres (Qua, E. H., 2008; Saito, T. Y., *et al.*, 2006).

PVA film showed a single scattering peak at  $2\theta = 19.5^\circ$  as accordance to previous studies (Figure 10) (Qua, E., *et al.*, 2011; Sriupayo, J. P., *et al.*, 2005). The presence of the secondary peak at approximately  $2\theta = 22.4^\circ$  was observed in the PVA nanocomposites. This is obviously due to the contribution of the cellulose I from cellulose nanofibre included in PVA composites. From the reflection intensity of the PVA and PVA nanocomposites peak at  $19.5^\circ$ , the crystallinity of the PVA/nanofibre treated with acid hydrolysis was not significantly changed by 5 wt% addition of cellulose nanofibre. Qua *et al* also reported the same phenomenon of the prominent peak at  $22.4^\circ$  which indicative of cellulose crystallinity of PVA/flax nanofibre (with 5 wt% of the acid hydrolyzed flax nanofibres) exhibiting a low intensity compared to the control PVA film (Qua, E., *et al.*, 2011). PVA/banana nanofibre treated with mechanical (Turrax and microfluidizer) and chemo-mechanical treatments (TEMPO and microfluidizer) exhibited marked crystalline behaviour, where the reflection of the peak at  $19.5^\circ$  has higher intensity compared to the neat PVA film. This is probably due from the reinforcement in a PVA composite which may act as a nucleating site and may lead to the phenomenon called transcrystallization (Karger-Kocsis, J., 2000). The peak at  $2\theta = 22.4^\circ$  also clearly presented in PVA/banana nanofibre (TEMPO and microfluidizer) results is due to the contribution of the highly crystalline cellulose I structure from the cellulose nanofibres.

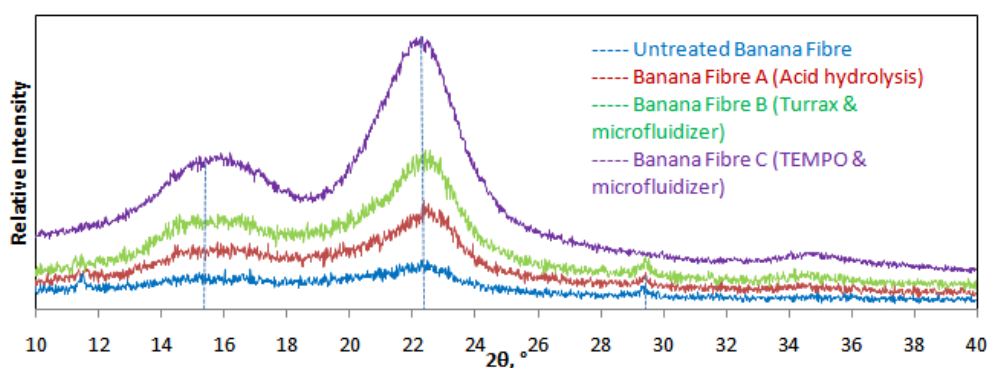


Fig. 9: XRD patterns for banana fibre and dried cellulose nanofibres

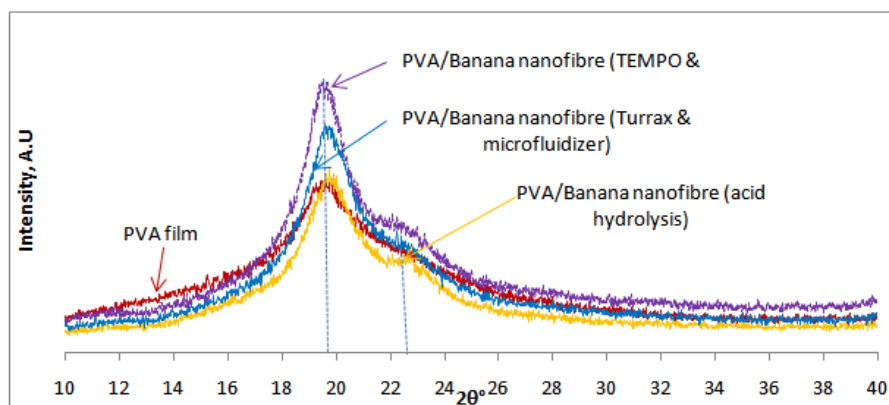


Fig. 10: XRD patterns of PVA films containing 5 wt% of cellulose nanofibres derived from chemical, mechanical and chemo-mechanical treatment.

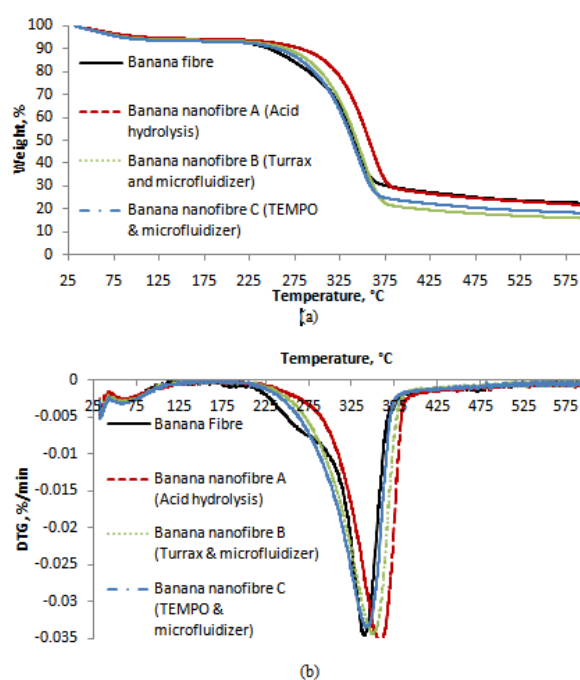
**Table 2:** Degree of crystallinity (%) of cellulose source (banana fibre), banana nanofibres and PVA/banana nanofibres treated with acid hydrolysis, Turrax, microfluidizer and TEMPO-oxidation respectively.

Sample	Degree of crystallinity, %
Banana fibre	60
Nanofibres A (Acid hydrolysis)	68
Nanofibres B (Turrax and microfluidizer)	64
Nanofibres C (TEMPO and microfluidizer)	74
PVA film	52
PVA/Banana nanofibre A	57
PVA/Banana nanofibre B	65
PVA/Banana nanofibre C	69

**Thermal Analysis:****TGA:**

TGA was undertaken in a nitrogen atmosphere to study the thermal stability of the materials produced. From Figure 11 (a), it was clearly observed that all samples had a small weight loss at low temperature (<110 °C) which corresponds to the evaporation of absorbed water. After 200 °C, the thermal behaviour of the cellulose nanofibres was totally different to the starting materials and decomposition of the fibre occurs (Bledzki, A. K., Sperber, V. E. and Faruk, O., 2006). A single degradation peak was observed in the DTG curve (Figure 11 (b)) of the parent source and the cellulose nanofibres. The onset decomposition temperature of banana fibre was 290 °C respectively. This reflects the presence of the less thermally stable non-structural hemicelluloses and pectin within the untreated fibres. Hemicellulose is generally thought to decompose first, followed by cellulose and lignin (Byrne, C. and Nagle, D., 1997; Sefain, M. Z., *et al.*, 1985; Wielage, B., *et al.*, 1999).

The pyrolysis of banana nanofibres treated with chemical and mechanical treatment show the same trend as can be seen in Figures 11 (a). The onset degradation temperature of the nanofibres shifted to higher temperature at 319 °C (acid hydrolysis), 303 °C (Turrax and microfluidizer) and 295 °C (TEMPO and microfluidizer) respectively compared to the feedstock fibre (290 °C). It can be anticipated that the presence of hemicellulose, lignin and other non-cellulosic constituents in untreated fibres which decompose at low temperature help to cause early onset of degradation. Furthermore, this is thought to be influenced by the particle size, crystallinity, metal ions and purity of the defibrillated banana (Qua, E. H., 2008). It is evident that the treatment by the microfluidizer resulted in a decrease particle size distribution and together with an increase in both cellulose purity and crystallinity, which is in accordance with the DLS, FTIR and XRD results. In the chemically treated samples however, removal of all these non-cellulosic materials helps to make the cellulosic structure more dense and compact and hence the rise of the onset temperature of degradation. Rearrangement and reorientation of the crystals in cellulose can promote an increase in thermal stability (Mandal, A. and Chakrabarty, D., 2011).

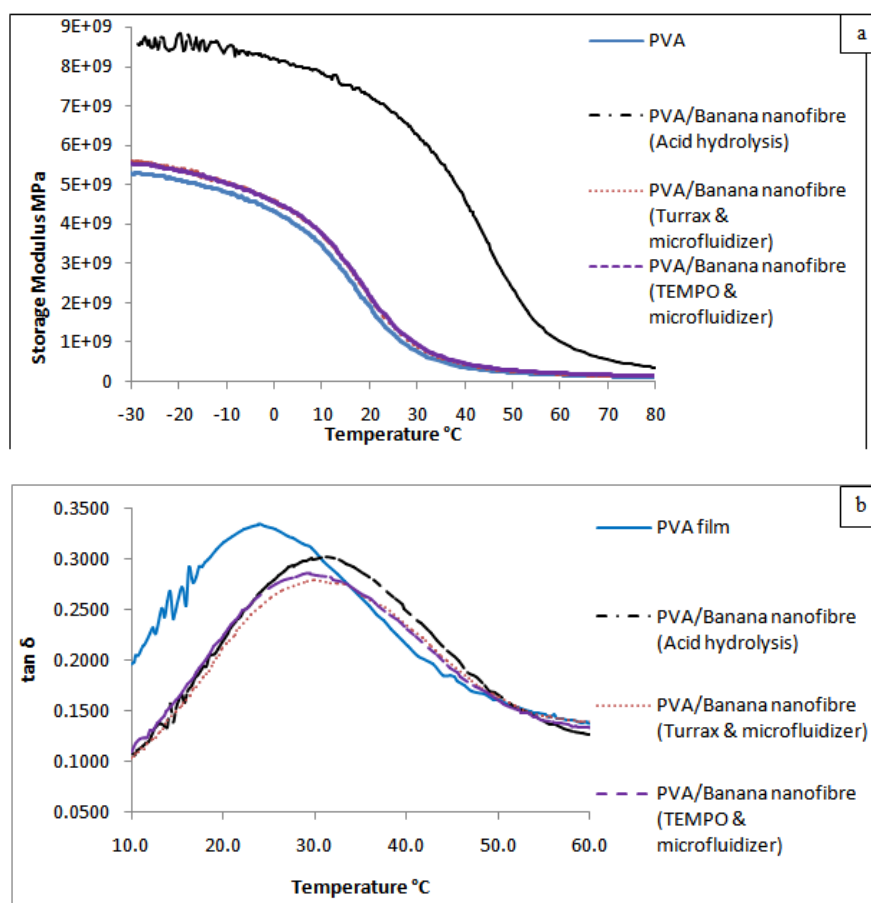
**Fig. 11:** TGA curves under nitrogen for untreated banana fibres and cellulose nanofibres produced: (a) TG weight loss and (b) DTG curves.

**DMA:**

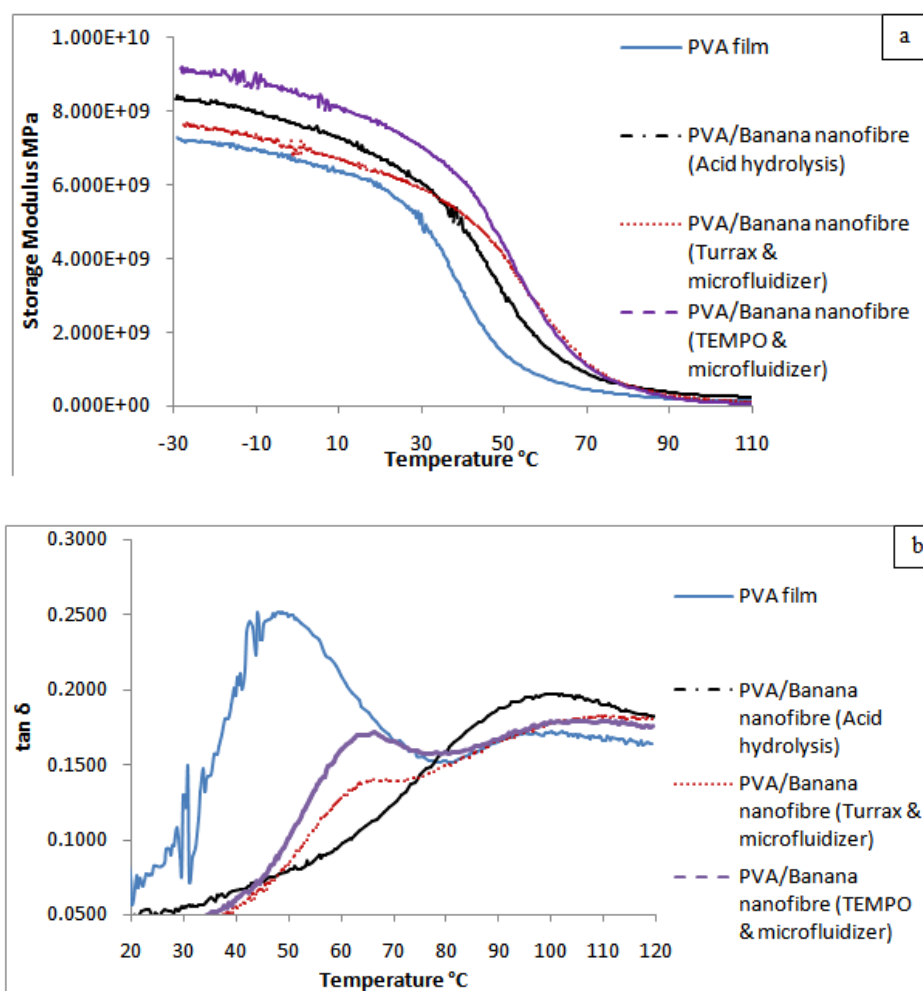
The DMA will measure the storage modulus ( $E'$ ) being in-phase with the applied stress and, representing the elastic component of a material's behaviour, the loss modulus ( $E''$ ) corresponding to the viscous nature of a material and mechanical damping factor ( $\tan \delta$ ), which is the ratio between loss and storage modulus ( $E''/E'$ ), providing a measure the amount of deformational energy that is dissipated as heat during each cycle (Price, D. M., 2006). Storage modulus ( $E'$ ) and  $\tan \delta$  behaviour of PVA nanocomposites, containing nanofibres prepared by chemical means, are shown in Figures 12 and 13. All the DMTA results, including storage modulus at -20, 30 and 70 °C and the corresponding  $\tan \delta$  peak temperatures for the composites are listed in Table 3.

In this study, the samples were conditioned prior to characterisation at 23 °C and 50% relative humidity (RH), and also after drying at 55 °C. The storage modulus of the PVA nanocomposites containing cellulose nanofibres is higher over the entire temperature span compared to the neat PVA film. As can be seen in Figure 12 (a), the conditioned PVA nanocomposites (23 °C and 50% RH) showed an increase in storage modulus at -20 °C compared to the neat PVA film. At this temperature, there were 66% improvement in modulus for PVA/banana nanofibres (acid hydrolysis) respectively. At 70 °C the PVA nanocomposites gave a significant increase in storage modulus of 43, 17 and 28% for PVA/banana nanofibre (acid hydrolysis), PVA/banana nanofibre (Turrax and microfluidizer) and PVA/banana nanofibre (TEMPO and microfluidizer). However, the dried PVA nanocomposites (Figure 13 (a)) showed only a limited increase in their storage modulus at -20 °C, compared to the neat PVA film although these storage modulus improvements were much higher at higher temperatures (35 and 70 °C).

Meanwhile the PVA nanocomposites showed an overall large increase in  $\tan \delta$ , the latter reflecting an increase in glass transition temperature ( $T_g$ ) as shown in Figure 12 (b) and 13 (b). This indicates that the load transfers from matrix to filler with due to good interfacial contact bonding in the nanocomposites. This was also attributable to the homogenous dispersion of cellulose nanofibres in the matrix.



**Fig. 12:** DMTA analysis of the neat PVA and PVA nanocomposite films (conditioned at 23 °C and 50% RH): (a) storage modulus curves and (b)  $\tan \delta$  peaks.



**Fig. 13:** DMTA analysis of the neat PVA and PVA nanocomposite films (dried at 55 °C): (a) storage modulus curves and (b)  $\tan \delta$  peaks.

**Table 3:** DMTA results of neat PVA film and PVA nanocomposites containing 5 wt% of cellulose nanofibres derived from chemical, mechanical and chemo-mechanical treatments.

Materials	Storage modulus (MPa) 23 °C, 50% RH				Storage modulus (MPa) Dried at 55°C			
	-20°C	30°C	70°C	Tan $\delta$ peak °C	-20°C	30°C	70°C	Tan $\delta$ peak °C
PVA	5123	784	133	25	7129	4825	448	48
PVA/Acid hydrolysis	8500	907	190	30	8029	6135	898	49
PVA/Turrax & microfluidizer	5381	964	155	30	7198	5714	1673	66
PVA/TEMPO & microfluidizer	5369	974	170	30	8075	6370	1342	64

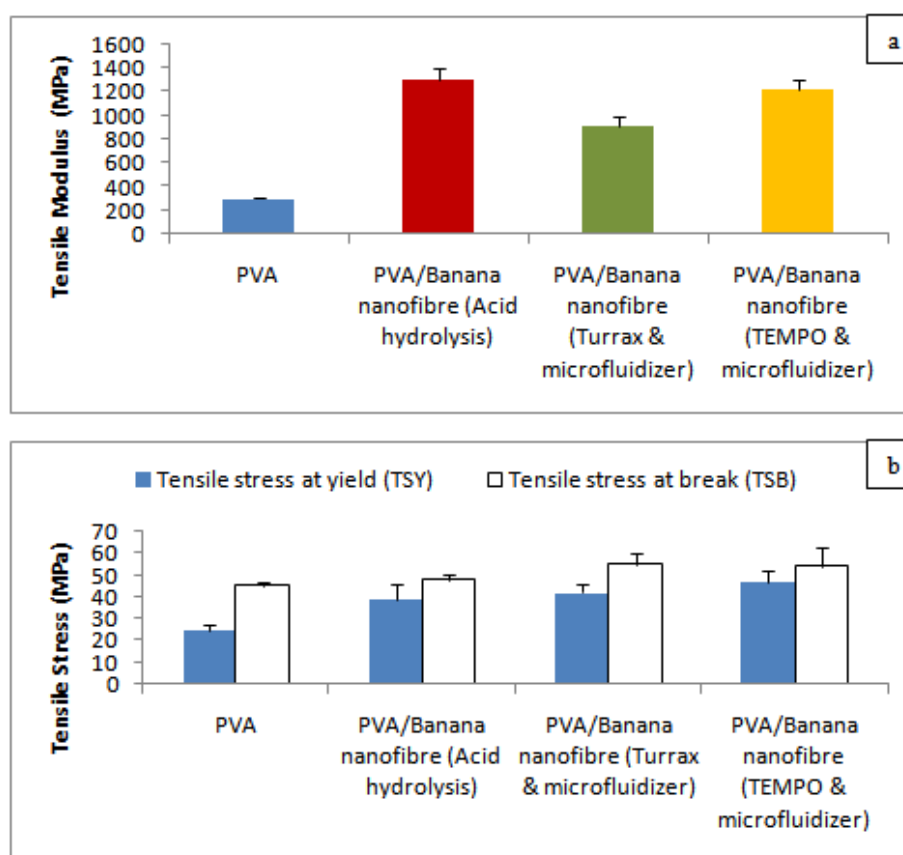
### Mechanical Properties:

Tensile modulus, tensile stress at yield and tensile stress at break (ultimate tensile strength) of the nanocomposite PVA films are compared with neat PVA in Figure 14. The tensile stress at yield values was recorded from the tensile stress value (y-axis) at approximately 20% of strain (x-axis). The level of the mechanical properties of PVA films prepared in this study differs from previously published reports. Typically PVA exhibited 60 – 95 MPa for tensile strength and 2 – 4 GPa for tensile modulus (Bhatnagar, A. and Sain, M., 2005; Cheng, Q., *et al.*, 2009; Wang, N., Ding, E., and Cheng, R., 2007). This is probably due to the conditions of the samples tested where in this work; the composites have been conditioned at 23 °C and 50% relative humidity prior to characterisation, softening the resulting PVA films and causing pronounced changes in mechanical properties. Therefore, the mechanical properties of the PVA supplied in this work were much smaller than alternative forms of PVA reported in the literature.

Despite the differences in base material properties, PVA matrix reinforced by cellulose nanofibres derived from banana fibre gave a significant improvement in tensile modulus. The tensile modulus increased

approximately by 300% by adding only 5 wt% of cellulose nanofibres. With respect to the tensile stress at yield (TSY), all the PVA nanocomposites obtained were 1.5 to 1.7 times higher compared to the neat PVA film. There was also a greater improvement in TSB for the PVA nanocomposites reinforced by mechanical and chemo-mechanical treated cellulose nanofibres compared to cellulose nanofibres prepared only with chemical (see Figure 14). The tensile stress at break (TSB) values obtained show an improvement of approximately 42-44% for PVA nanocomposite films except for the PVA/banana nanofibre treated with acid hydrolysis which gave a 26% enhancement relative to the neat PVA. These promising results provide evidence for the strong interaction between the cellulose nanofibres and PVA matrix via hydrogen bonds, resulting in the formation of a rigid network, yielding improved mechanical properties. This is also attributed to the high level of compatibility and interaction between the fibre and matrix aided by a high interfacial surface area (Qua, E. H., 2011).

As in shown in the TEM micrographs, all the nanofibres produced by these preparation methods had a rod-like shape. From size analysis of these images (diameter and length), the banana nanofibres made by chemo-mechanical treatment (TEMPO-oxidation and microfluidizer) gave a smaller diameters and longer lengths, resulting in high aspect ratios. By having high aspect ratio, the fibres transferred stress more effectively under an applied external load giving a higher stiffness and strength of the composite (Nabi Saheb, D. and Jog, J. P., 1999). Besides that, the smaller size will increase the fibre surface area, hence increasing interfacial interaction between cellulose nanofibres and the matrix resulting in stronger bonding. This may also explain the better improvement in the TSB for PVA nanocomposites reinforced by cellulose nanofibres prepared using the microfluidizer and combination of TEMPO treatment and the microfluidizer compared to solely chemically treated cellulose nanofibres. Moreover, the nanocomposites showed such a marked improvement in TSY whereas only a modest improvement in TSB. This is probably due to the fibres creating stress concentrations at very higher stress and strain levels causing premature failure (Hornsby, P. R., 2013).



**Fig. 14:** Mechanical properties analysis of PVA films containing 5 wt% of cellulose nanofibre: (a) tensile modulus and (b) tensile stress at yield and break.

### Conclusions:

The objective of this investigation was to determine an optimum methodology for biorefining of cellulose nanofibres for the reinforcement of PVA. To this end, cellulose nanofibres were successfully derived from banana fibre using three different strategies; chemical treatment (acid hydrolysis), mechanical shearing (high dispersion Turrax mixer and high pressure microfluidizer) and combination of chemical and mechanical



treatments (TEMPO-oxidation and high pressure microfluidizer). Combination of high pressure microfluidisation with TEMPO-oxidation treatment gave the most promising results, resulting in finer cellulose nanofibrils. TEMPO-oxidation was considered to facilitate the fibre breakdown process by reducing the strong intermolecular interaction within microfibril bundles. The addition of only 5 wt% of cellulose nanofibres (made by a combination of TEMPO-oxidation and microfluidisation) to PVA tripled the tensile modulus of polymer and yielded optically transparent composites. DMTA results indicated that the use of cellulose nanofibres induces a mechanical percolation phenomenon leading to higher mechanical properties over a wide temperature range through the formation of a rigid filler network in the polymer matrix. Furthermore, the crystallinity of the PVA composites was increased compared to the neat PVA, influenced by a nucleating effect of the cellulose nanofibres. The use of cellulose nanofibres is limited to only laboratory-scale production (solution casting process). To scale up to an industrial level, it is important to incorporate these cellulose nanofibres into polymers commercial production techniques, in particular melt compounding. The composite containing cellulose nanofibres could be used for manufacturing high strength/value products, such as packaging, gutters and drain pipes. This will require consideration of both extraction and injection-molding processes and means for combining the fibres with polymer melts without degradation and aggregation.

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